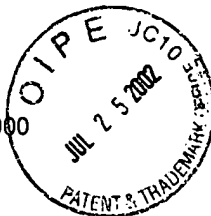




UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/090,493	03/04/2002	Thomas Raschke	04035/244910

00826
ALSTON & BIRD LLP
BANK OF AMERICA PLAZA
101 SOUTH TRYON STREET, SUITE 4000
CHARLOTTE, NC 28280-4000

CONFIRMATION NO. 6041

FORMALITIES LETTER



OC000000007798436

Date Mailed: 04/04/2002

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- o The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- o To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- o **The balance due by applicant is \$ 130.**

*A copy of this notice **MUST** be returned with the reply.*

H. Truong
Customer Service Center
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re: Raschke et al.
Appl No.: 10/090,493
Filed: March 4, 2002
For:

Confirmation No.: 6041

ACTIVE INGREDIENT COMBINATIONS OF SURFACE-ACTIVE CITRIC
ESTERS AND INCLUSION COMPOUNDS OF CYCLODEXTRINS AND
RETINOIDS, AND COSMETIC AND DERMATOLOGICAL PREPARATIONS
CONTAINING SUCH MIXTURES

Box Missing Parts
Commissioner for Patents
Washington, DC 20231

COPY OF PAPERS
ORIGINALLY FILED

RESPONSE TO NOTICE TO
FILE MISSING PARTS OF APPLICATION

Sir:

In response to the Notice to File Missing Parts of Application dated April 4, 2002, enclosed are the following:

- ☒ Part 2 of Formalities Letter or Form PTO-1533
(Notice to File Missing Parts of Application)
- ☒ Declaration and Power of Attorney for the above-identified application which has been executed by the named inventor(s)
- ☐ Applicant claims small entity status
- ☒ Check in the amount of \$130.00 to cover the surcharge under 37 C.F.R. § 1.16(e)
- ☐ English Translation and \$130.00 (37 CFR 1.17(k)) fee for filing late.
- ☒ Other: Petition for a two-month extension of time under 37 C.F.R. 1.136(a) for submitting missing parts, along with a check in the amount of \$400.00 to cover the fee for extension of time. Any additional fee or credit may be charged to our Deposit Account No. 16-0605.

Respectfully submitted,



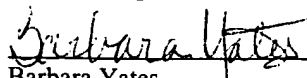
Andrew T. Meunier
Registration No. 40,726

Customer No. 00826
ALSTON & BIRD LLP
Bank of America Plaza
101 South Tryon Street, Suite 4000
Charlotte, NC 28280-4000
Tel Atlanta Office (404) 881-7000
Fax Atlanta Office (404) 881-7777

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to:

Box Missing Parts, Commissioner for Patents, Washington, DC 20231, on July 19, 2002.


Barbara Yates
ATL01/11241673v1



COPY OF PAPERS
ORIGINALLY FILED

Attorney's Docket No. 04035944910

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re: Raschke et al.
Appl No.: 10/090,493 Confirmation No. 6041
Filed: March 4, 2002
For: ACTIVE INGREDIENT COMBINATIONS OF SURFACE-ACTIVE CITRIC
ESTERS AND INCLUSION COMPOUNDS OF CYCLODEXTRINS AND
RETINOID, AND COSMETIC AND DERMATOLOGICAL PREPARATIONS
CONTAINING SUCH MIXTURES

Box Missing Parts
Commissioner for Patents
Washington, DC 20231

SUBMITTAL OF DECLARATION
UNDER 37 C.F.R. § 1.63

Sir:

Enclosed is a Declaration and Power of Attorney for the above-identified patent application that has been executed by the named inventors.

A check in the amount of \$130 is also enclosed to cover the surcharge under 37 C.F.R. § 1.16(e). Any additional fee or credit may be charged to our Deposit Account No. 16-0605.

Respectfully submitted,

Andrew T. Meunier
Registration No. 40,726

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Charlotte, NC 28280-4000
Tel Atlanta Office (404) 881-7000
Fax Atlanta Office (404) 881-7777

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to:

Box Missing Parts, Commissioner for Patents, Washington, DC 20231, on July 19, 2002.

Barbara Yates
ATL01/11241726v1